

Scattering from corners and other singularities

Emilia Blåsten

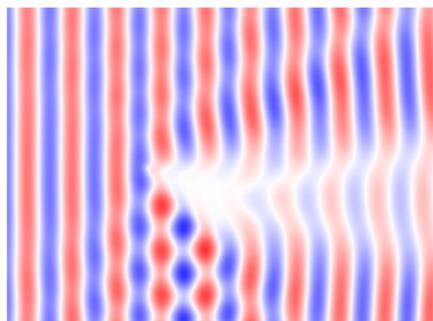
LUT University, Finland

11th Applied Inverse Problems Conference

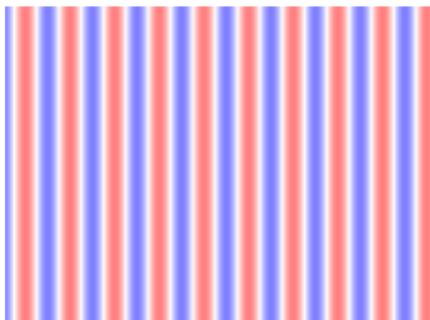
University of Göttingen, Germany

September 4, 2024

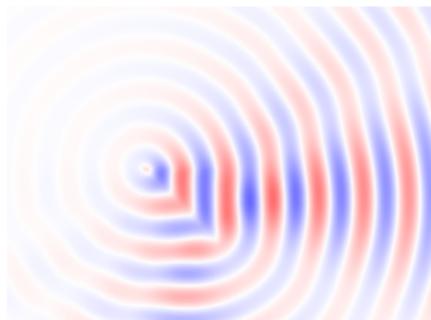
Scattering theory



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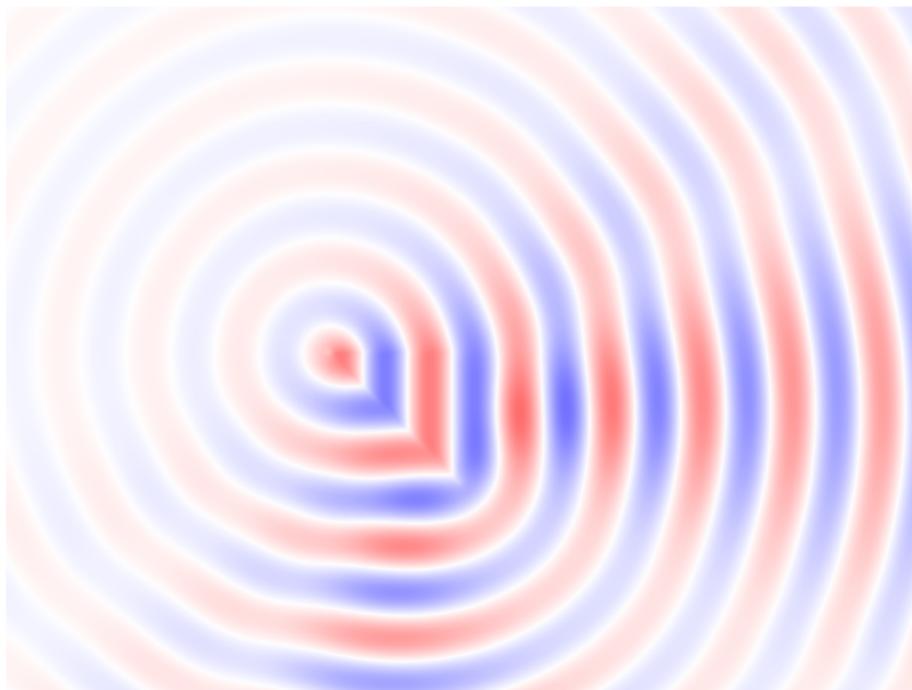


+



$$u = u^i + u^s$$

Fixed frequency scattering theory: measurements



Measurement: A_{u^i} is the **far-field pattern** of the scattered wave

$$u^s(x) = \frac{e^{ik|x|}}{|x|^{(n-1)/2}} A_{u^i} \left(\frac{x}{|x|} \right) + \mathcal{O} \left(\frac{1}{|x|^{n/2}} \right)$$

Different inverse scattering problems

Given the **far-field map** $u^j \mapsto A_{u^j}$, recover the scattering potential V or its support Ω .

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- ▶ full far-field map given for all large frequencies (Saito 1984),
- ▶ full far-field map given for a single frequency (Sylvester–Uhlmann 1987 3D + Bukhgeim 2007 2D),
- ▶ + countless other variations

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My focus is on **single measurement**: A_{u^j} given only for a single u^j .

Schiffer's problem: can a single measurement determine Ω ?

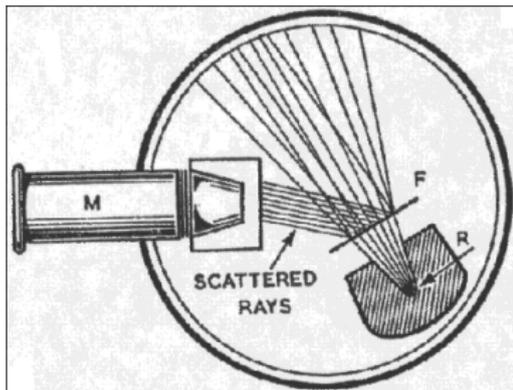
Why one measurement only?

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Example: Lord Rutherford's gold-foil experiment

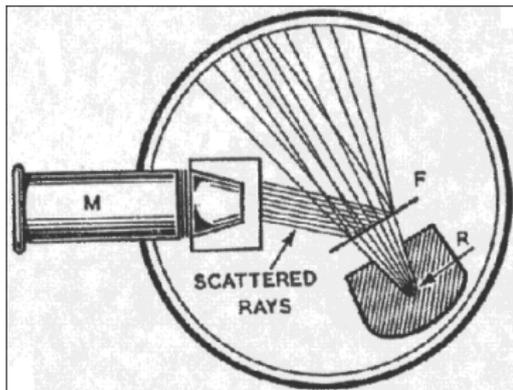
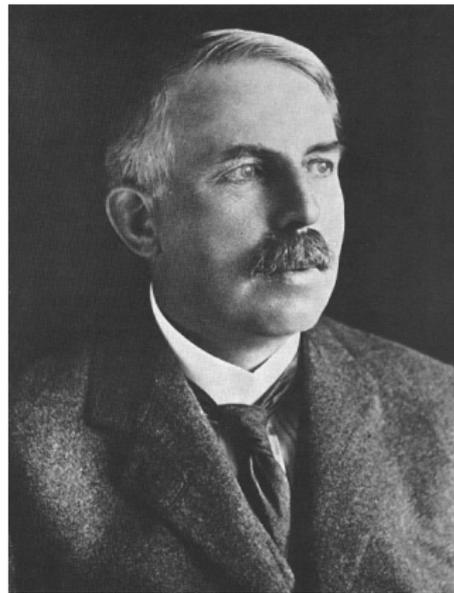
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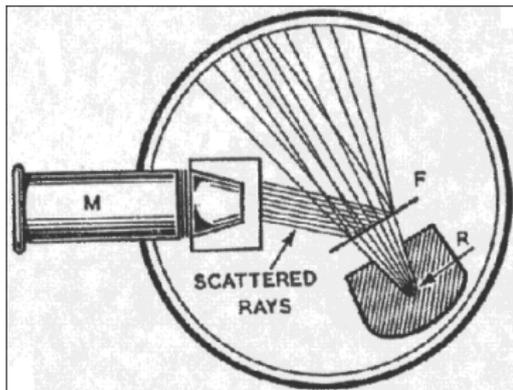
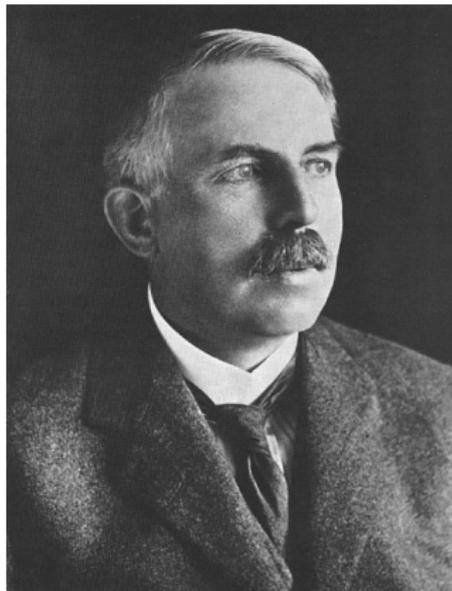
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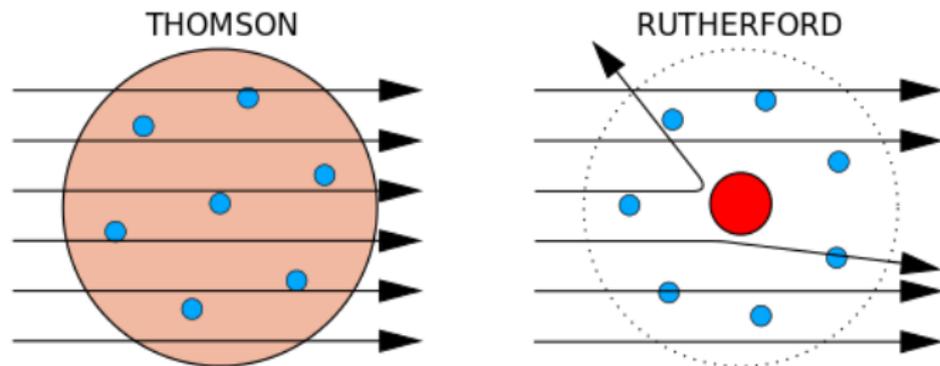
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Single incident wave

Scattering theory

Rutherford experiment's conclusions



measurement + a-priori information = conclusion

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 $A_{u^i} \neq 0$.

However if k is a **transmission eigenvalue** A_{u^i} can become arbitrarily small with $\|u^i\| \geq 1$.

Some follow-up corner scattering results by others

- ▶ Päivärinta–Salo–Vesalainen 2017: 2D any angle, 3D almost any spherical cone
- ▶ Hu–Salo–Vesalainen 2016: smoothness reduction, new arguments, [polygonal scatterer probing](#)
- ▶ Elschner–Hu 2015, 2018: 3D any domain having two faces meet at an angle, and also curved edges
- ▶ Liu–Xiao 2017: electromagnetic waves
- ▶ ...
- ▶ free boundary methods:
 - ▶ Cakoni–Vogelius 2021: border singularities
 - ▶ Salo–Shahgholian 2021: analytic boundary non-scattering
 - ▶ ...

Injectivity of the Schiffer's problem for polyhedra

Theorem (Hu–Salo–Vesalainen, Elschner–Hu)

Let P, P' be convex polyhedra and $V = \chi_P \varphi$, $V' = \chi_{P'} \varphi'$ for admissible functions φ, φ' . Then

$$P \neq P' \implies A_{u^i} \neq A'_{u^i} \quad \forall u^i \neq 0$$

Any **single** incident wave determines P in the class of polyhedral penetrable scatterers.

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Ikehata's enclosure method (1999) gives roughly the same!

Stability of polygonal scatterer probing

Non-vanishing total wave

Theorem (B.-Liu 2021)

Let u^i be an incident wave and let $V = \chi_P \varphi$, $V' = \chi_{P'} \varphi'$ be admissible with $|u|, |u'| \neq 0$. Then

$$d_H(P, P') \leq C(\ln \ln \|A_{u^i} - A'_{u^i}\|_2^{-1})^{-\eta}$$

for some $\eta > 0$.

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Note 1: stability is still unknown without assuming $|u|, |u'| \neq 0$.

Note 2: is this the optimal stability??

Lower bound for far-field pattern

Arbitrary Herglotz wave

Theorem (B.-Liu 2017)

Let u^i be a normalized Herglotz wave,

$$u^i(x) = \int_{\mathbb{S}^{n-1}} e^{ik\theta \cdot x} g(\theta) d\sigma(\theta), \quad \|g\|_{L^2(\mathbb{S}^{n-1})} = 1,$$

and let $V = \chi_P \varphi$ be admissible with x_c a corner of P . Then

$$\|A_{u^i}\|_{L^2(\mathbb{S}^{n-1})} \geq C_{\|P_N\|, V} > 0$$

where

$$\begin{aligned} u^i(x_c + r\theta) &= r^N P_N(\theta) + \mathcal{O}(r^{N+1}), \\ \|P_N\| &= \int_{\mathbb{S}^{n-1}} |P_N(\theta)| d\sigma(\theta) > 0. \end{aligned}$$

Mistake?



F. Cakoni: “Incident waves that approximate transmission eigenfunctions produce arbitrarily small far-field patterns.”

From apparent contradiction to inspiration

Theorem (B.-Liu 2017)

Let the potential $V = \chi_{\Omega}\varphi$ be admissible. Let $v, w \neq 0$ be transmission eigenfunctions:

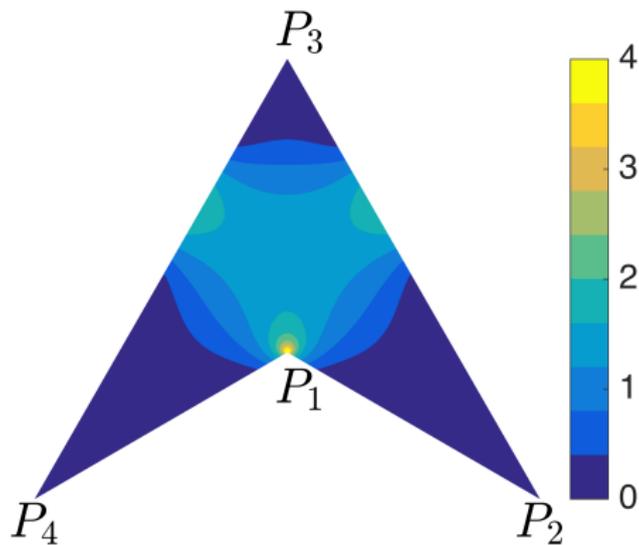
$$\begin{aligned}(\Delta + k^2)v &= 0, & \Omega \\(\Delta + k^2(1 + V))w &= 0, & \Omega \\w - v &\in H_0^2(\Omega).\end{aligned}$$

Under C^α -smoothness of v near a convex corner x_c we have

$$v(x_c) = w(x_c) = 0.$$

Transmission eigenfunction localization

B.-Li-Liu-Wang 2017



Piecewise constant determination

Injectivity of piecewise constant potential probing:

Theorem (B., Liu, 2020)

Let $\Sigma_j, j = 1, 2, \dots$ be bounded convex polyhedra in an admissible geometric arrangement (think *pixels/voxels*) and $V = \sum_j V_j \chi_{\Sigma_j}$, $V' = \sum_j V'_j \chi_{\Sigma_j}$ for constants $V_j, V'_j \in \mathbb{C}$. Then

$$V \neq V' \implies A_{u^i} \neq A'_{u^i} \quad \forall u^i(x) = e^{ik\theta \cdot x}$$

if $k > 0$ small or $|u| + |u'| \neq 0$ at each vertex.

A *single* incident plane wave determines V in the class of discretized penetrable scatterers if the grid is unknown but same for both V and V' .

Proof sketch

Integration by parts

$$k^2 \int_{\Omega} (V - V') u' u_0 dx = \int_{\partial\Omega} ((u - u') \partial_{\nu} u_0 - u_0 \partial_{\nu} (u - u')) dx$$

if $(\Delta + k^2(1 + V))u_0 = 0$ in Ω .

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Simple case: $\Omega = B(0, \varepsilon) \cap \Sigma_j$ with $\Sigma_j =]0, 1[^n$

$$u'(x) = u'(0) + u'_r(x) \quad u' \in H^2 \hookrightarrow C^{1/2}$$

$$u_0(x) = e^{\rho \cdot x} (1 + \psi(x)) \quad \text{CGO}$$

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Hölder estimates give

$$C |(V_j - V'_j) u'(0)| |\rho|^{-n} = \left| (V_j - V'_j) u'(0) \int_{\mathbb{R}_+^n} e^{\rho \cdot x} dx \right| \leq C |\rho|^{-n-\delta}$$

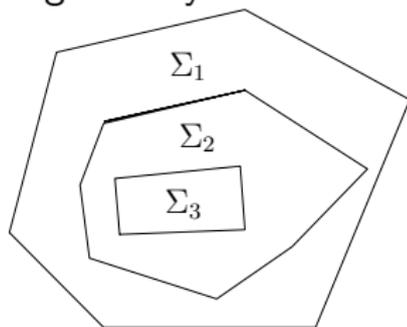
if $\|\psi\|_{\rho} \leq C |\rho|^{-n/p-\varepsilon}$.

Generalizations and limitations

- ▶ unique determination of corner location **and** value

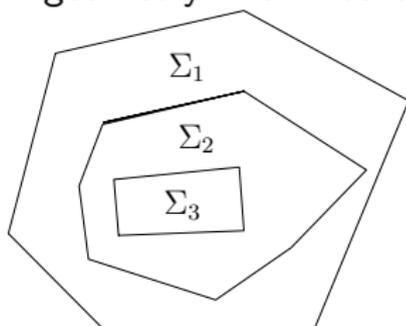
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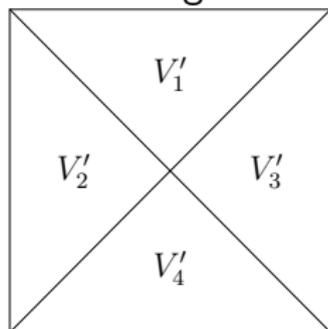
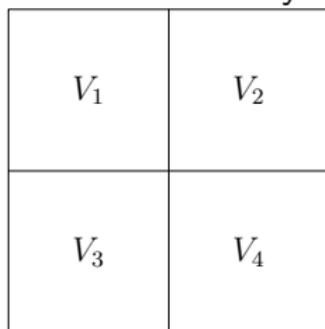


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- ▶ method cannot yet be shown to distinguish between



Always scattering

High curvature case

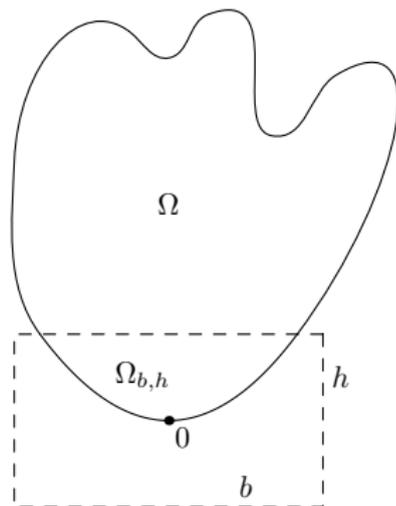
Ω bounded domain, $0 \in \partial\Omega$ admissible
 K -curvature point.

Theorem (B.-Liu, 2021)

If $f = \chi_{\Omega}\varphi$, $\varphi \in C^{\alpha}(\mathbb{R}^n)$ and

$$|\varphi(0)| \geq C(\ln K)^{(n+3)/2} K^{-\delta}$$

then $u_{\infty} \neq 0$ for $(\Delta + k^2)u = f$.



Inverse source problem, Schiffer's problem

$$(\Delta + k^2)u = f = \chi_{\Omega}\varphi, \quad \lim_{r \rightarrow \infty} (\partial_r - ikr)u = 0$$

Can $u_{\infty}(\theta) = c\hat{f}(k\theta)$ determine Ω when k is fixed?

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Unique determination:

- ▶ $u_{\infty} = u'_{\infty} \implies \Omega = \Omega'$ for convex polyhedral shapes (**corner scattering**). Assuming non-vanishing total waves, also for elasticity (B.-Lin 2018), electromagnetism (B.-Liu-Xiao 2021),
- ▶ $u_{\infty} = u'_{\infty} \implies \Omega \approx \Omega'$ for convex polyhedral shapes whose corners have been smoothed to admissible K -curvature points (**high curvature scattering**, B.-Liu 2021),
- ▶ $u_{\infty} = u'_{\infty} \implies \Omega \approx \Omega'$ for well-separated collections of small scatterers (**small source scattering**, B.-Liu 2021).

Non-spherical cones

Potential scattering

Let C be any cone whose cross-section K is star-shaped and $\chi_K \in H^\tau(\mathbb{R}^2)$ for some $\tau > 1/2$.

Theorem (B.–Pohjola 2022)

For any $\delta > 0$ there is a cone C_δ such that $d_H(C_\delta, C) < \delta$ and with the following property: potentials of the form

$$V = \chi_{C_\delta} \varphi$$

*where φ is smooth enough (roughly $C^{1/4}$) and non-zero at the vertex **always scatter**.*

Non-spherical cones

Source scattering (easier)

Theorem (B.–Pohjola 2022)

A source $f = \chi_C \varphi$ for $(\Delta + k^2)u = f$ scatters *for any* $k > 0$ when φ is smooth enough and non-zero at the vertex of the cone C when

$$\int_{\mathbb{S}^2 \cap C} Y_2^m dS \neq 0$$

for $m \in \{-2, -1, 0, +1, +2\}$ and Y_2^m is the spherical harmonic of degree 2. *This is true if C fits into a thin enough spherical cone.*

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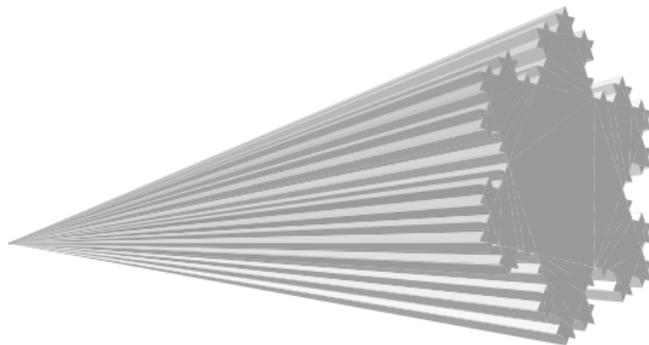
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“Thin enough” means $\cos \theta \leq 1/\sqrt{3}$. The magic angle is $\approx 54.74^\circ$.



Scattering screens

A **flat screen** $\Omega = \Omega_0 \times \{0\}$ with $\Omega_0 \subset \mathbb{R}^2$ simply connected, bounded and smooth. Scattering from such a screen:

$$\begin{aligned}(\Delta + k^2)u^s &= 0, & \mathbb{R}^3 \setminus \overline{\Omega}, \\ u^i + u^s &= 0, & \Omega, \\ r(\partial_r - ik)u^s &\rightarrow 0, & r = |x| \rightarrow \infty.\end{aligned}$$

Let Ω, Ω' be flat screens, $k > 0$, u^i an arbitrary incident wave, and $u^s, u^{s'}$ corresponding scattered waves.

Theorem (B.–Päivärinta–Sadique 2020)

- ▶ If $u^i(x_1, x_2, x_3) + u^i(x_1, x_2, -x_3) \neq 0$ for some x and $u_\infty^s = u_\infty^{s'}$ then $\Omega = \Omega'$.
- ▶ If $u^i(x_1, x_2, x_3) + u^i(x_1, x_2, -x_3) = 0$ for all x then $u_\infty^s = u_\infty^{s'} = 0$.

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New directions: free boundary methods. Will they solve the problem?

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- b) Herglotz or other waves

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What guarantees vanishing far-fields?

Thank you!